

# Noninvasive Recurrence Quantification Analysis Predicts Atrial Fibrillation Recurrence in Persistent Patients Undergoing Electrical Cardioversion

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## Abstract

*This study proposes a novel noninvasive ECG-based approach to accurately quantify differences in the atrial activity (AA) signals of atrial fibrillation (AF) patients characterized by very similar global AF organization.*

*High-density body surface potential maps were recorded in 63 patients in persistent AF prior to electrical cardioversion (32 recurrences occurred after 4-6 weeks). AF substrate complexity was quantified as the degree of overall temporal organization of the AA pattern, determined from multi-variable unthresholded recurrence plots (RP).*

*Results showed that non-recurrent patients were characterized by temporally more stable propagation patterns than recurrent patients, suggesting a lower complexity of the AF substrate in the former. This was used to discriminate between recurrent and non-recurrent AF patients following electrical cardioversion with an accuracy of 72%.*

*Noninvasive assessment of AF substrate complexity in patients characterized by very similar levels of AF progression was improved by applying RP-based analysis on extracted AA signals. The proposed approach may help improving AF treatment selection and success.*

## 1. Introduction

ECG-based noninvasive quantification of atrial fibrillation (AF) substrate complexity is increasingly being used to discriminate among AF types and to predict therapy outcome at any stage of the disease [1]. In particular, predicting acute and long-term success of AF treatments may improve treatment selection and success, and prevent future cardiovascular complications. Many ECG-based AF complexity parameters have been suggested over the last decade and some first steps towards a standardized approach have been taken [2]. A limitation of those param-

eters is that they generally quantify stationary AF substrate properties and appear to be suboptimal in describing subtle differences in patients characterized by very similar AF substrate complexity. However, patients showing similar AF substrate complexity still may respond differently (on a short and long term) to the same treatment. This asks for more accurate ECG-based noninvasive methods able to unveil differences in the global AF organization of those patients, which are more subtle and more difficult to quantify [3], and likely due to structural strengthening of already existing remodelling processes.

In this study, we introduce a novel approach based on unthresholded recurrence plots (RP) to describe the oscillatory patterns of atrial activity (AA) signals during AF and well represent the continuous spectrum of AF progression. The proposed approach is also applied to noninvasively predict AF recurrence in persistent AF patients undergoing electrical cardioversion.

## 2. Methods

### 2.1. BSPM data and pre-processing

Body surface potential maps (BSPMs) were recorded in 63 patients in persistent AF using a custom configuration of 184 leads with 120 anterior and 64 posterior leads (ActiveTwo BSM Panels Carbon Electrodes, Biosemi B.V., The Netherlands; Figure 1). All patients underwent electrical cardioversion, and 32 patients showed AF recurrence 4 to 6 weeks after procedure. ECGs were sampled at 2048Hz, and downsampled at 256Hz. A one-minute segment was selected for each subject, low-quality leads were excluded (low signal-to-noise ratio, poor electrode contact, motion artefacts), and Wilson's Central Terminal was subtracted in line with conventional ECG analysis. After band-pass filtering the signals between 1 and 100Hz (3rd

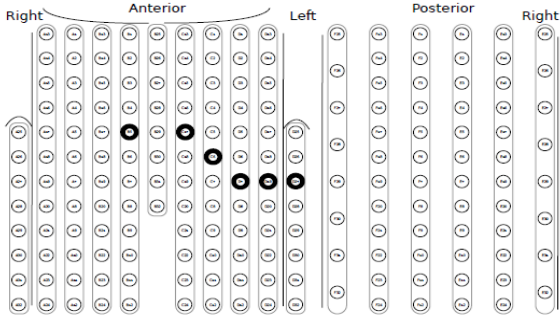


Figure 1. BSPM electrode configuration, comprising 120 anterior leads and 64 posterior leads. Thick circles: default positions of the precordial leads  $V_1, \dots, V_6$ .

order Chebyshev), QRST cancellation was performed using an adaptive singular value decomposition method, inspired by the approach in [4], with multiple QRST window templates defined using hierarchical clustering. The extracted atrial signals were post-filtered with a zero-phase notch filter at 50Hz to suppress power line noise, and with a 3Hz zero-phase highpass filter (3rd order Chebyshev) to remove low-frequency residuals not related to (persistent) AF. Figure 3(a) shows the  $r(p)$  signals for all 63 patients.

## 2.2. Multi-variable recurrence plot construction

RPs provide a way to visualize and quantify recurrent behavior of the phase space trajectory of a dynamical system [5]. RPs can be generated from trajectory data. In this study, given the matrix  $X$  of size  $\ell \times N$  collecting all extracted AA signals from a patient ( $\ell = 184$  leads, and  $N$  is the number of samples), each column of  $X$  was assumed to provide a vector  $\mathbf{x}(i)$ ,  $i = 1, \dots, N$ , which represents a point in the phase space of the system. This allows to process all AA signals extracted from a patient simultaneously, by means of a *multi-variable* RP.

Traditionally, the Euclidean distance is used to compute the proximity of two points in the phase space. However, we replaced this distance with a similarity measure, namely the cosine of the angle between the vectors associated with two points in the phase space:

$$d(\mathbf{x}(i), \mathbf{x}(j)) = \frac{\mathbf{x}(i)^T \mathbf{x}(j)}{\|\mathbf{x}(i)\|_2 \|\mathbf{x}(j)\|_2} \quad (1)$$

This provides a sort of sample correlation which is not affected by the magnitude of the vectors, and allows to investigate the temporal recurrence of global AF spatial patterns over the all body surface covered by the BSPM electrodes. Recurrence is then expected to happen not when the dis-

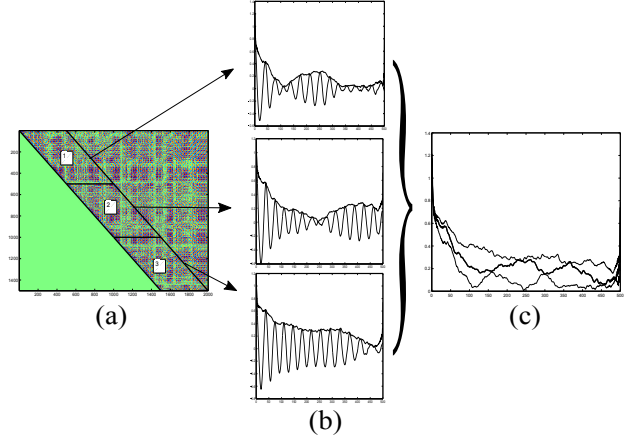


Figure 2. The main phases of the proposed approach. Read Section 2.3 for details.

tance is zero, but when similarity is high. Finally, by computing the similarity (1) between each pair  $\mathbf{x}(i), \mathbf{x}(j)$ , an unthresholded RP matrix of size  $N \times N$  was obtained for each patient, which was used for further analyses.

## 2.3. Recurrence Quantification Analysis of multi-variable AA RPs

RQA uses RPs to investigate and quantify the recurrent behavior in the phase space trajectory of a dynamical system. Under the hypothesis that an AA signal during AF is characterized by a certain stationarity, the corresponding AA cardiac dipole can be assumed to pass through the same points (or close to) after a period  $T$ . At such moments in time the distance  $d(\mathbf{x}(i), \mathbf{x}(i + T))$  (with  $T$  expressed in samples) defined in (1) is expected to be 1 (or close to 1). With this assumption of stationarity fulfilled, averaging over a diagonal of the RP would provide a normalized autocorrelation value at a lag given by the index of the diagonal (for instance, averaging over the main diagonal would provide a normalized autocorrelation at lag zero).

However, it is also sensible to assume that this stationarity is likely not to last over a long time interval, and to expect breaks in the phase of the AF patterns to occur (piecewise stationarity). The number of those breaks is expected to depend on how much progressed AF is, and on the overall status of a patient. The more progressed AF becomes the larger the number of breaks in the phase, and the more nonstationary the AF patterns are expected to be. There is no analytical way to compute the average length of a piecewise stationary window in a patients (the time interval between two break points in the phase of the AF patterns). The length of this window then becomes a parameter of the method. There is a tradeoff on the window length: the shorter the window is the better nonstationarities can be

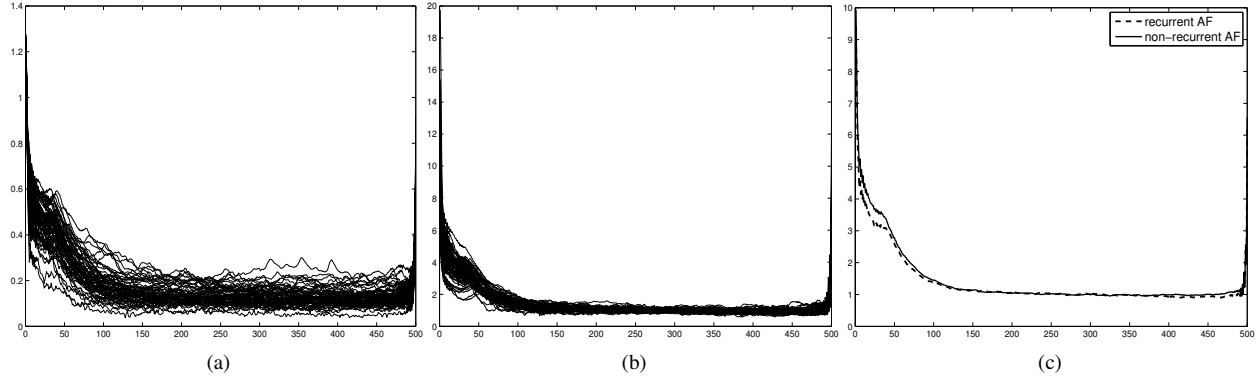


Figure 3. The three steps of the analysis of AF nonstationary behavior. 3(a), raw median  $r(p)$  signals from all 63 patients. 3(b), the normalized  $r(p)$  signals. 3(c), the mean signals for the non-recurrent and recurrent AF groups.

handled, but the larger the variance of the estimated normalized autocorrelations over different windows. On the contrary, the larger the window is the more accurate the estimates of the normalized autocorrelations are, but the less it can adapt to nonstationary behaviors. In this study, we empirically determined that a window length of 500 samples worked well in terms of discriminating between the recurrent and non-recurrent AF patients.

After the window length was chosen, each RP was split into a sequence of non-overlapping diagonal blocks, all of the same size, each block containing 500 diagonals of equal length (see an example in Figure 2(a) for three sequential blocks). That means that by averaging over all diagonals of one block, all lags between 0 and 499 samples were assessed. In this way, we could determine a new autocorrelation function for every block, and adapt to non-stationarities. A normalized autocorrelation function was then obtained for each block (see Figure 2(b)). For a window length of 500 samples, twenty-four blocks were obtained from the RP of each patient, thus providing twenty-four normalized autocorrelation functions per patient.

The envelope of each autocorrelation function was then computed as the amplitude of its Hilbert transform (thick solid lines in Figure 2(b)). This allows to work with smoother signals, not affected by discontinuities in the phase. Finally, for a given patient the median of all the twenty-four envelopes at each time lag was computed, providing a 500 sample long signal,  $r(p)$ , with lags in the range  $p = 0, \dots, 499$  samples (Figure 2(c), thick line overlapped to the three envelopes). This signal includes information about the recurrent behavior present in the original data, at the same time accounting for nonstationary properties of this behavior. Two analyses were then carried out based on the signal  $r(p)$ .

### 3. Analyses and Results

#### 3.1. Qualitative analysis of AF nonstationary behavior

Figure 3(a) shows the  $r(p)$  signals for all 63 patients. A visual inspection of those curves shows that they are characterized in general by two distinct behaviors: an early phase characterized by a decreasing autocorrelation value (for low values of the time lag  $p$ ), and a later phase characterized by an approximately constant behavior, with very low autocorrelation values (for larger values of the lag  $p$ ). To highlight this common behavior and partly remove intra-individual differences, each  $r(p)$  signal was normalized by the corresponding median value of the constant phase (calculated as the median of  $r(p)$  in the interval  $150 \leq p \leq 450$ ; Figure 3(b)). Finally, given the two groups composed by recurrent and non-recurrent AF patients, respectively, the mean of all  $r(p)$  signals was computed for each group (over each time lag; Figure 3(c)). A visual inspection of the two resulting curves shows that both groups are characterized by the two distinct behaviors highlighted above. Moreover, it can be noticed that the mean curve of the non-recurrent group shows values that are larger than those of the recurrent curve at the early phase ( $10 \leq p \leq 60$ ). That means that in this early phase, the non-recurrent patients show in average a larger autocorrelation, which can be interpreted as a higher regularity of the corresponding global AF patterns, which tend to pass for longer from the same locations. This is in line with the expectation that electrical cardioversion is more likely to be successful in those patients with less electrostructural remodeling of the myocardium, reflected in a lower complexity of the AF substrate and more organized AA patterns.

### 3.2. RP-based classification of recurrent and non-recurrent AF patients

Based on the previous qualitative analysis, an open question is: what is the value of the time lag  $p$  which allows to separate the recurrent and non-recurrent patients best? To answer this question we first computed the cumulative function of each normalized signal  $r(p)$  (Figure 4). This allows, given a specific time lag, to take into account the contribution of the previous lags as well. We then performed a leave-one-out cross validation on each time lag to determine the most discriminant lag. We found that 200 samples was the value of the time lag which gave the highest accuracy in terms of classification of recurrent and non-recurrent AF patients (72%; AUC 0.58). When looking back at Figure 3(c), it can be noticed that  $p = 200$  is indeed at the end of the early decreasing phase, before the curves become constant, underlining that all discriminant power is exploited by this value.

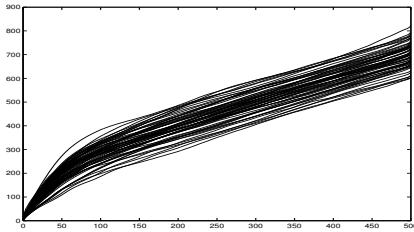


Figure 4. Cumulative functions of the normalized  $r(p)$  signals in Figure 3(b).

### 3.3. AF complexity assessment

For completeness, AF complexity was also assessed on the extracted AA signals by means of fourteen state of the art noninvasive time and frequency domain parameters that appeared frequently in the last decade of non-invasive AF complexity literature [2]. A generalized linear model was individually applied to each complexity parameter. None of those parameters showed to be a significant discriminant of AF recurrence.

## 4. Conclusions

This study proposed a novel RP-based noninvasive quantification of AF substrate complexity. Novelty resides in the use of a normalized autocorrelation instead of the Euclidian distance to build RPs and in extracting from the RP matrix a signal that contains information about recurrences in the original data, accounting for possible non-stationaries of those recurrences. In particular, the proposed approach showed to be able to unveil more subtle information about AA patterns in persistent AF patients,

which could be used to discriminate between recurrent and non-recurrent AF patients following electrical cardioversion with an accuracy of 72%. Non-recurrent patients were characterized by temporally more stable propagation patterns than recurrent patients, suggesting more organized AA patterns and a lower complexity of the AF substrate in the former, in line with what could be expected from a pathophysiological view-point. On the contrary, state of the art noninvasive parameters for the assessment of the complexity of the AF substrate did not show to be able to discriminate between recurrent and non-recurrent AF patients, suggesting that more sophisticated tools are needed to describe patients characterized by very similar levels of AF organization. Main findings of this study include that: persistent AF is a complex nonstationary process, characterized by different nonstationary behaviors on the short and long term; following electrical cardioversion of persistent AF, non-recurrent and recurrent patients show different nonstationary behaviors, and non-recurrent patients are characterized by more organized AA propagation patterns; differences in the nonstationary behaviors of those two groups can be described by the proposed approach and used to obtain a good classification. Finally, the method proposed in this study provides a more accurate description of the AA oscillatory patterns hidden in AF ECG recordings and helps predicting AF recurrence in persistent patients undergoing electrical cardioversion.

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